

Incentive Fund Foal Nomination Form

FOR OVERNIGHT DELIVERY TO AQHA: 1600 QUARTER HORSE DR, AMARILLO, TX 79104 • MAILING ADDRESS: P.O. BOX 200, AMARILLO, TX 79168
WWW.AQHA.COM • © 806-376-4811

- PLEASE ENROLL THE FOLLOWING FOAL IN THE AQHA INCENTIVE FUND.
- THE SIRE MUST HAVE BEEN NOMINATED FOR THE BREEDING SEASON WHICH PRODUCED THE FOAL IN ORDER FOR THE FOAL TO BE ELIGIBLE.
- BY SIGNING BELOW, THE PERSON COMPLETING THIS FORM REPRESENTS THAT THE INFORMATION IS TRUE AND CORRECT TO THE BEST OF HIS/HER KNOWLEDGE.

NOMINATION DEADLINES AND FEES

If submitted from the foaling date to the seventh month birthdate **\$125**
 After the seventh month to the twelfth month birthdate **\$200**
 After the twelfth month to the eighteenth month before birthdate **\$1,000**
 After the eighteenth month to the twenty-fourth month birthdate **\$2,500**

ABSOLUTELY NO FOALS WILL BE ACCEPTED AFTER THE TWENTY-FOURTH MONTH OF AGE

EXAMPLE: Foal born on May 15, \$125 deadline is December 15 of the foaling year

Fees are based according to the date AQHA received the nomination request

A foal cannot be nominated after January 1 of its yearling year if it has earned a half point or more in an AQHA-approved event.

HORSE'S NAME (LIST ONLY ONE HORSE)

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REGISTRATION NUMBER

YEAR FOALED

DAM'S NAME

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DAM'S REGISTRATION NUMBER

NOMINATOR'S NAME

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AQHA ID NUMBER

COMPLETE MAILING ADDRESS

SIGNATURE OF PERSON COMPLETING FORM

CITY, STATE/PROVINCE POSTAL CODE

DAYTIME PHONE NUMBER

U.S. NOMINATOR'S SOCIAL SECURITY NUMBER

OR

U.S. NOMINATOR'S FEDERAL TAX NUMBER

NAME OF PERSON TO WHOM TAX NUMBER IS ISSUED

YOU MUST PROVIDE THIS INFORMATION OR A PERCENTAGE OF EARNINGS WILL BE WITHHELD



CHECK MONEY ORDER **IF PAYING BY CREDIT CARD, PLEASE COMPLETE THE FOLLOWING:**

AMERICAN EXPRESS

MASTERCARD

VISA

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CARD NUMBER

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EXP. DATE (MMYY)

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DAYTIME PHONE

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CARDHOLDER NAME

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CARDHOLDER SIGNATURE

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BILLING ZIP CODE

DO NOT SEND CASH - U.S. FUNDS ONLY

INCENTIVE FUND

(a) GENERAL RULES AND REGULATIONS

- (1) AQHA may invest funds received pursuant to the following rules in accordance with its current investment guidelines for AQHA's own corporate funds. Earned investment income from a particular year's fund shall be added to the Incentive Fund. AQHA may deduct accumulated cost of administering the program from the gross proceeds from the stallion and foal nominations, not to exceed 10 percent.
- (2) All disputes arising out of the application or interpretation of any rules or conditions of the AQHA Incentive Fund shall be decided by the American Quarter Horse Association.
- (3) AQHA, in its sole discretion, reserves the right to alter the conditions of all or part of the Incentive Fund program as it deems appropriate. AQHA, at its sole discretion, may also cancel the program at any time. Unless otherwise provided herein, funds received pursuant to these rules are non-refundable.
- (4) An entrant is ineligible to participate in AQHA's Incentive Fund program where prohibited by law because of legal residence, situs of approved event, or any other basis, and all of the prohibited portion of the earned points or purse money shall be disregarded in calculations for an earned portion of the Incentive Fund.
- (5) Fees received from stallion nominations (subsection (b) below), and foal nominations will be used to convert points earned at AQHA shows into premium money. Each point earned at an AQHA-approved show in the open and/or amateur division by an Incentive Fund nominated horse will be worth money, the exact amount to be determined by AQHA based on total money in the Incentive Fund for a given year divided by the number of points earned by Incentive Fund horses during that year. Additionally, Incentive Fund payouts shall be subject to the following point cap: The points paid out will be limited to the average number of points earned by Incentive Fund horses that earn points during the year, plus one. Incentive Fund money is not to be paid on points earned in Rookie, Level 1 (Novice), Level 1 (Green), Dressage Training Level 1, Equestrians With Disabilities and Introductory shows.
- (6) Annually, out of the Incentive Fund money available for each point earned at an AQHA- approved show, fifteen percent (15%) will be awarded to the nominator of the sire for the breeding season in which the foal was conceived, fifteen percent (15%) will be awarded to the nominator of the foal, and the remaining seventy percent (70%) will be awarded to the record owner of the point-earning horse.
- (7) Determination of who qualifies as the "record owner of the point-earning horse" is made at the time AQHA show results are officially processed and recorded by AQHA. If, at such time, AQHA records reflect that a person was the record owner of the horse at the time of the show in question, such person shall be considered the "record owner of the point-earning horse." If a point-earning horse is owned by multiple record owners during a year, the Incentive Fund money awarded to each of the record owners will be in proportion to the points earned during each record ownership period according to AQHA's records, subject and dependent on point cap for horse.
- (8) Calculation of total number of points earned by horses enrolled in the Incentive Fund Program during a calendar year as well as total number of points earned by each respective horse shall be based on the official show records of AQHA as of January 31 following the completion of the show year (January 1 – December 31) in which the points were earned. Subsequent correction of show results, for any reason, shall not affect calculation of winnings. All winnings are subject to Internal Revenue Service rules and regulations pertaining to withholding of tax and the reporting of earnings. Any payout checks which are less than \$10 will not be issued, and those monies will be reverted back to the Incentive Fund.

- (9) Incentive Fund monies due a person/entity suspended from membership or show privileges with AQHA will be withheld during the term of the suspension; or, funds may be used to pay a participant's delinquent account with AQHA. If the suspension continues longer than five years from the date the funds are awarded, such funds will be forfeited and paid to the American Quarter Horse Foundation's general scholarship fund. For rules regarding AQHA approved shows, eligibility of competing horses and points earned, refer to the show section of the AQHA Official Handbook.

(b) FOAL ELIGIBILITY AND FEES

- (1) To be eligible for lifetime participation in the AQHA Incentive Fund Program, foals sired by stallions nominated for the breeding year in which the foal was conceived, may only be nominated by the following:
 - (A) by the record owner of the foal if nominated prior to the foal's twenty fourth month of age: or
 - (B) subject to paragraph 2 below, by the record owner of the sire at the time of breeding ("stallion owner").
- (2) Nomination by stallion owner.
 - (A) If a foal born on or after January 1, 2006, is eligible for nomination and is not nominated by the record owner of the foal, AQHA will send correspondence with an AQHA Nominations Waiver Form to the record owner of the foal for the purpose of allowing them the option to waive their opportunity to nominate the foal.
 - (B) The stallion owner may nominate the foal subject to:
 - (1) The record owner of the foal executing the Nomination Waiver Form,
 - (2) AQHA receiving the executed Nomination Waiver Form on or before the foal's twenty-fourth month birth date; and
 - (3) The stallion owner, in accordance with paragraph 3 below, nominating the foal within fifteen days of being notified that AQHA has received the executed Nomination Waiver Form.
 - (C) Only the record owner of the foal can exercise the option to execute the Nomination Waiver Form, and such option can only be exercised by the owner while the foal is recorded in their ownership.
 - (D) Failure of the record owner of the foal, for any reason to execute an AQHA Nomination Waiver Form so as to allow a stallion owner the opportunity to meet the applicable nomination deadlines shall nullify a stallion owner's option to nominate a foal. Nomination fees are based on the fee schedule listed in)
- (3) AQHA's charge for the Incentive Fund nomination is determined by the actual age of the horse according to the date the nomination and fee are received in AQHA's office as evidenced by AQHA's date stamp. Nomination fees must be received in U.S. funds. If the nomination is submitted from:

Foaling date to the seventh month birth date	\$125
Eighth month to the twelfth month birth date.	\$200
Thirteenth month to the eighteenth month birth date	\$1,000
Nineteenth month to the twenty-fourth month birth date	\$2,500
- (4) NO FOALS WILL BE ACCEPTED AFTER THE TWENTY-FOURTH MONTH OF AGE
- (5) NO HORSE WILL BE ACCEPTED IF IT HAS EARNED 1/2 POINT OR MORE AT AN AQHA-APPROVED SHOW
- (6) One a foal is enrolled, the nomination fee is not refundable.